

Ace Diversified Capitals' policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm's books and records, and allowing our customers to transact business. In the event that we determine we are unable to continue our business, we will assure customers prompt access to their funds and securities.

Ace Diversified Capital will maintain copies of its BCP plan and the annual reviews, and the changes that have been made to it for inspection. An electronic copy of our plan is located in the data service provider of network backup and recovery software and services, to provide a voluntary electronic repository service specifically designed for FINRA firms.

If you would like a copy of this Business Continuity Plan summary, you may contact our Investment Executive or go to www.acediversifiedcapital.com The plan is subject to change without notice. In the event that the Business Continuity Plan summary is modified, the updated document will be available at www.acediversifiedcapital.com.

Business Continuity Plan (BCP): Contact Information

In the event of a disaster that result in a significant business disruption, please contact as follow:

Ace Diversified Capital, Inc.	Wedbush Securities, Inc.
<ol style="list-style-type: none">1. Your Broker2. Office Manager<ol style="list-style-type: none">(a) SG branch: (626) 641-5620 (CP)(b) LA branch: (213) 700-3608 (CP)3. Email: service@acediversifiedcapital.com	<ol style="list-style-type: none">1. Service Main: (213) 688-45682. Liason Officer (213) 688-4584<ol style="list-style-type: none">(a) Fax: (213) 688-6692(b) Email: eve.king@wedbush.com3. IT help desk (213) 688-4357

Business Continuity Plan Information

Ace Diversified Capital, Inc is a full disclosure broker dealer. Ace Diversified Capital, Inc is using Wedbush Securities as clearing firm. Majority of client's data are stored in Wedbush Securities electronic archive.

Wedbush Securities ("Wedbush") is committed to protecting the interests of clients at all times. Wedbush has developed a plan to provide for business continuity (Continuity Plan) in the event that business is disrupted. The Continuity Plan addresses all critical business processes, including providing clients with the ability to buy and/or sell and providing access to securities and funds.

The Continuity Plan addresses interruptions that are either localized or regional in scope, whether short or long term, regardless of the cause. The Continuity Plan is reviewed and tested annually and has been approved by senior management.

Wedbush maintains multiple sales offices that are geographically dispersed. In the event of a disruption that affects your local sales office, you will be able to contact other offices where our professionals will have access to your account information and can provide you the normal level of services. Contact information for each sales office is posted on the website under "Office Locations." You may also continue to view your account information and process your activity using ClientLink over the Internet.

Should a disruption occur at our headquarters in Los Angeles, California, some operational functions may be temporarily disrupted while staffs relocate to predetermined alternative sites.

Wedbush has Information Technology services that are housed and operate concurrently in dual, geographically dispersed sites. Either site is capable of supporting all critical functions should one site be disabled. Information is also backed up and stored at a third location at predetermined intervals. Any business disruption at Wedbush will not result in the loss of your account information, securities or money.

Your account information and transaction history are also stored at our data processing provider that has its own business continuity plan that is regularly tested. Your securities and funds are held at banks, custodians and other providers of services to the securities industry that each have their own successfully tested business continuity capabilities. These facilities are all located remotely from Wedbush's corporate data processing sites.